Establishment of a new non-invasive imaging prediction model for liver metastasis in colon cancer

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Abstract: The aim of this study was to develop and validate a new non-invasive artificial intelligence (AI) model based on preoperative computed tomography (CT) data to predict the presence of liver metastasis (LM) in colon cancer (CC). A total of forty-eight eligible CC patients were enrolled, including twenty-four patients with LM and twenty-four patients without LM. Six clinical factors and one hundred and fifty-two tumor image features extracted from CT data were utilized to develop three models: clinical, radiomics, and hybrid (a combination of clinical and radiomics features) using support vector machines with 5-fold cross-validation. The performance of each model was evaluated in terms of accuracy, specificity, sensitivity, and area under the curve (AUC). For the radiomics model, a total of four image features utilized to construct the model resulting in an accuracy of 83.87% for training and 79.50% for validation. The clinical model that employed two selected clinical variables had an accuracy of 69.82% and 69.50% for training and validation, respectively. The hybrid model that combined relevant image features and clinical variables improved accuracy of both training (90.63%) and validation (85.50%) sets. In terms of AUC, hybrid (0.96; 0.87) and radiomics models (0.91; 0.85) demonstrated a significant improvement compared with the clinical model (0.71; 0.69), and the hybrid model had the best prediction performance. In conclusion, the AI model developed using preoperative conventional CT data can accurately predict LM in CC patients without additional procedures. Furthermore, combining image features with clinical characteristics greatly improved the model’s prediction performance. We have thus generated a promising tool that allows guidance and individualized surveillance of CC patients with high risks of LM.

Keywords: Colon cancer, liver metastasis, computed tomography, radiomics analysis, artificial intelligence, prediction

Introduction

Colon cancer (CC) is one of the leading cause of cancer-related deaths worldwide [1]. Even though the prognosis is generally favorable for early-stage CC patients with radical resection, the treatment performance of metastatic CC is disappointing, with a 5-year survival rate of only 13% [2]. Liver metastasis (LM) is the most common form of distant metastases in CC patients. Approximately 15-20% of the patients have synchronous LM at the time of the diagnosis, and the 5-year cumulative metachronous LM rate was 3.7% for TNM stage I tumors, 13.3% for stage II, and 30.4% for stage III [3]. Besides, LM is a well-known indicator of poor prognosis for cancer patients and is also one of the most challenging issues encountered during the treatment of CC [4]. Therefore, early identification of high-risk LM patients is crucial for the development of effective treatment plans and improving the clinical outcomes.

Non-invasive approaches, including computed tomography (CT), magnetic resonance imaging (MRI), and positron emission tomography (PET), are widely used for preoperative clinical evaluation of LM; however, the diagnostic accuracies of these imaging techniques are not satisfactory [5-7]. Therefore, the utilization of clinicopath-
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Table 1. Clinical characteristics of the forty-eight CC patients with and without LM

<table>
<thead>
<tr>
<th>Characteristics</th>
<th>LM (n = 24)</th>
<th>Non-LM (n = 24)</th>
<th>P</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age, years (Mean ± SD)</td>
<td>63.33±11.21</td>
<td>59.71±13.86</td>
<td>0.197</td>
</tr>
<tr>
<td>Gender, n (%)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Male</td>
<td>15 (62.50)</td>
<td>15 (62.50)</td>
<td>1</td>
</tr>
<tr>
<td>Female</td>
<td>9 (37.50)</td>
<td>9 (37.50)</td>
<td></td>
</tr>
<tr>
<td>Tumor site, n (%)</td>
<td></td>
<td></td>
<td>0.057</td>
</tr>
<tr>
<td>Right</td>
<td>14 (58.33)</td>
<td>20 (83.33)</td>
<td></td>
</tr>
<tr>
<td>Left</td>
<td>10 (41.67)</td>
<td>4 (16.67)</td>
<td></td>
</tr>
<tr>
<td>Histologic grade, n (%)</td>
<td></td>
<td></td>
<td>0.383</td>
</tr>
<tr>
<td>Moderately differentiated</td>
<td>15 (62.50)</td>
<td>12 (50.00)</td>
<td></td>
</tr>
<tr>
<td>Poorly differentiated</td>
<td>9 (37.50)</td>
<td>12 (50.00)</td>
<td></td>
</tr>
<tr>
<td>Tumor diameter, cm (Mean ± SD)</td>
<td>7.97±3.12</td>
<td>7.31±1.55</td>
<td>0.385</td>
</tr>
</tbody>
</table>

Note: n, number; LM, liver metastasis; SD, standard deviation; tumor size was measured at the thickest part of the colon lesion vertical to the bowel wall on the cross-sectional image; P value was derived from the univariable association analyses between each characteristic, P < 0.05 was considered statistically significant.

Although the prediction of LM has been briefly studied for several diseases, the role of image features in predicting the presence of LM in CC patients still remains unclear. Therefore, the aim of this study is to investigate different CT algorithms and imaging sequences for prediction of LM in CC patients. Furthermore, we will evaluate the predictive performance of artificial intelligence (AI) models for diagnosis of LM in CC patients.

Materials and methods

Patients

This retrospective study was approved by the ethics committee of the Affiliated Hospital of Qingdao University. A total of 620 consecutive CC patients were received radical colectomy with lymph node dissection between October 2015 and July 2018. The clinicopathological and radiological databases were retrospectively reviewed. The patients with LM inclusion criteria were as follows: (a) patients with CC diagnosis on pathology, (b) patients with no history of previous or coexisting other malignancies, (c) patients who underwent preoperative enhanced CT for local CC staging and for LM diagnosis, and (d) patients with metastatic CC who underwent synchronous resection of primary tumor and LM. The exclusion criteria is as follows: (a) patients who underwent treatment (radiotherapy, chemotherapy or chemoradiotherapy) before the baseline CT examination, (b) poor image quality that is unqualified for image analysis, and (c) patients with LM who did not receive synchronous resection of the primary tumor and LM. The twenty-four patients with LM who received simultaneous resection of primary tumor and metastases were enrolled in the LM group; in order to match the study, another twenty-four patients without LM who underwent radical colectomy and lymph node dissection were selected as the non-LM group. Finally, a total of forty-eight consecutive patients were incorporated into our study. The clinical characteristics of the patients for each experiment were presented in Table 1. Our study framework steps are demonstrated in Figure 1.
CT image acquisition

All patients underwent contrast-enhanced abdominal and pelvic CT using a two 64-detector row spiral CT system (Discovery 750 HD, GE Medical System, USA or Volume Ultra, GE Medical Systems, USA). CT scan was performed 65 s after intravenous injection of 100 ml iopromide (Ultravist-300; Bayer Schering Pharma, Berlin Germany) at 3 ml/s for enhancement. Scanning parameters were as follows: 120 kv; 160 mAs; 0.6 s rotation time and an imaging matrix of 512×512. Portal venous phase images at 1.0 mm thickness were retrieved for radiomics analysis.

Tumor segmentation and feature extraction

Two radiologists with more than 10 years of experience in abdominal radiology interpretation examined all the slices of CT data to find the slice with the maximum tumor diameter. Later, tumor regions were outlined by the first radiologist using open-source software (ITK-SNAP) [25], and the second radiologist validated the drawn region of interest (ROI) for the tumor tissue (Figure 2).

The CT image characteristics were obtained using six feature extraction methods (first-order statistic, FoS; co-occurrence matrix, CoM; run-length matrix, RLM; local binary patterns, LBP; fractal analysis, FA; and tumor structure, TS) and three transformations (gradients, GD; histogram of oriented gradients, HoG; and wavelet transform, WT) with in-house implemented scripts in Matlab® (MathWorks, MA) [17, 26]. Six first-order statistical features were calculated from gray-level pixel intensity histogram to capture a summary of the intensity distribution of the lesions without considering spatial positioning. To measure joint probabilities of pixels with different intensities, we generated co-occurrence matrix in four directions (0°, 90°, 180°, 270°) and extracted six features by averaging each co-occurrence matrix after merging spatial direction features as averaging. The run-length matrix were computed to characterize coarseness of the textures using gray-level intensity runs and calculate seven features from the run-length matrix. LBP technique analyzes the image texture by comparing the association between neighboring pixels in a region and generates a histogram for this relationship. In our study, we computed 10 LBP features of tumor tissues. The complexity of the texture structure in CT image were reflected by measuring the fractal dimension. Besides, gradient image was generated to describe coarseness or fineness of the structures leveraging intensity variation in specific directions. A total of
nineteen features were calculated from gradient images employing statistics (FoS) and texture (CoM and RLM) methods. In addition, six additional features were obtained from gradient histograms that were generated with the HoG approach by clustering gradients into nine directional groups. To extract spatial characteristics of the texture, we calculated wavelet detail images utilizing Daubechies function resulting in four sub-images. We calculated statistical (FoS) and textural features (GLCM and GLRM) in addition to power, variance, and average signal magnitudes of the wavelet images.

Following the extraction of 152 image features from preoperative conventional CT data, we standardized the features using the z-score normalization approach for stable convergence while optimizing the hyperparameters (kernel parameter and penalty) of the generated classifiers. In order to identify the relevancy and space dimensionality of image features, we employed the RELIEFF algorithm which determined the ranking of the features by analyzing the differences among nearest-neighbor pairs [27].

**Classifier model building**

In order to evaluate the prediction accuracy of radiomics features and clinical variables, we assessed the importance of the variables individually to build three separate AI models (radiomics, clinical, and hybrid) using a kernel-based support vector machines (SVM) method with 5-fold cross-validation. Using the SVM technique, the separating hyperplane was generated by optimizing the minimal distance between separating plane and closest samples. During SVM optimization, sequential minimal optimization method was utilized by mapping image features to high dimensional space with radial basis function kernels that lead to a non-linear classification of the samples. Prior to the development of the models, the data was randomly separated into five groups (four were used for training and the remaining for validation of the model). The process was repeated five times with different clusters used for training and validation to better evaluate the models. The distribution of the patients in training and validation sets after combining groups of five experiments are presented in Table 2.

For the clinical model, we obtained the demographics (age and gender) and tumor-related (location and histological status) characteristics from patient records after the removal of personal identifiers. Besides, tumor size were measured in the longest and shortest diameter using CT data. To determine how clinical variables correlate with tumor characteristics, we examined all the features in an exhaustive search approach evaluating the average performance of the AI models for training and validation sets in terms of accuracy and area under the receiver operating curve (AUC). The clinical model was generated using the variable set that demonstrated the best performance.

For the radiomics model, we included six features with the highest rankings identified by the RELIEFF algorithm [27]. Using the same approach as the developing clinical model, the set of features that reflect the biological changes of metastasis were identified. Afterwards, the selected clinical and CT image features were further analyzed by generating a hybrid model that combined clinical and imaging variables with the best performance using the exhaustive search approach.

**Performance evaluation and statistical analysis**

For performance evaluation of the three models, we utilized accuracy, sensitivity, specificity, and receiver operating characteristic (ROC) curves for training and validation. In the 5-fold
Figure 3. The correlation and ranking of the selected features. A and B demonstrate the cross-correlation and ranking of the image features used for radiomics model; C and D show the cross-correlation and ranking of the clinical factors used for the clinical model; E and F present cross-correlation and ranking of the features used for the hybrid model.
cross-validation approach, the performance metrics of generated models were calculated by averaging them over the five experiments. While selecting the potential model parameters and feature sets, the generated models were sorted according to the accuracy and AUC by validation sets as removing the models that generate a difference more than 7% accuracy between training and validation. To compare the superiority of the generated models (radiomics, clinical and hybrid), multiple Student's t-test using AUC values obtained from five experiments for each model were performed. \( P < 0.05 \) was considered statistically significant.

For patient demographic information, Student's t-test for continuous variables and Chi-square test were performed to evaluate relationships for categorical factors. We also presented continuous variables as mean ± standard deviation.

Results

Clinical characteristics of patients

A total of forty-eight CC patients were incorporated into our research including twenty-four patients with LM and twenty-four patients without LM. There were no statistically significant difference in clinical characteristics between patients with LM and without LM.

Feature selection

The radiomics model was constructed with four features (entropy, energy, and homogeneity of the vertical wavelet image and low gray level run emphasis of raw image) following detailed assessment of top-ranked features in terms of accuracy and AUC measures of the validation. In Figure 3A, we visualized the correlation between the features and showed the ranking of the features in Figure 3B. For the clinical model, six features were evaluated for accuracy and AUC in the validation sets, and the final model was generated using the two features (tumor site and diameter of tumor tissue) showing the best performance. The correlation of the clinical features are shown in Figure 3C, and the ranking of the features is presented in Figure 3D. For the hybrid model, a total of six features selected via clinical and radiomics models were evaluated. Afterward, the final model was generated with four selected features (heterogeneity, entropy, and energy of vertical wavelet image and diameter of tumor). Figure 3E shows the correlation values among the features for the hybrid model; the rankings of the selected features are presented in Figure 3F.

Evaluation of the models for predicting liver metastasis

In the present study, we generated three AI models to predict the presence of LMs: clinical, radiomics and hybrid. For each model, all combinations of the features were evaluated in an exhaustive search procedure to identify significant variables that allowed prediction of LM and also evaluated the performance of the generated models in terms of accuracy and AUC measurements (Figure 4).

The clinical model was generated upon assessment of all the combinations of the variables while analyzing the performance of the candidate models. The behavior of the model was described in terms of accuracy and AUC metrics for the clinical model (Figure 4A and 4D). Upon evaluation of the results, the final clinical model generated with three features demonstrated an accuracy of 69.82% for training and 69.50% for validation. The model specificity was 83.31% for training and 83.14% for validation, while the sensitivity was 56.36% for training and 62.00% for the validation. Besides, the average AUC values were 0.71 for training and 0.69 for the validation. Figure 5A shows the ROC curve of the training model for each of five validation sets with a visualization of mean ± standard deviation.

For the radiomics model, all the subsets of the six features were extensively evaluated to identify the relevant features that reflect the existence of LMs. In Figure 4B and 4E, the performances of the generated models with respect to a varying number of features were demonstrated. The results showed that the radiomics model with four features generated the highest performances for training and validation sets. Therefore, the model was constructed with four features presenting an accuracy of 83.87% for training and 79.50% for validation that increased the accuracy almost 10% compared to the clinical model. In addition, the specificity of the model was 96.59% for training.
and 86.48% for validation while the sensitivity of the model was 75.28% for training and 78.86% for the validation. Besides, the AUC values of the model were 0.91 for training and 0.85 for the validation (Figure 5B).

For the hybrid model, six features selected for clinical and radiomics models were analyzed with an assessment of all the classifiers with respect to increasing number of features. The accuracy and AUC of the classifiers are shown.
in Figure 4C and 4F. After evaluation of the performances of the training and validation sets, the hybrid model were constructed with four features which improved the prediction accuracy with an average of 6% (a total of 90.63% for training and 85.50% for validation) compared to radiomics model. The hybrid model demonstrated specificity of 95.41% for training and 94.29% for validation while the sensitivity of the model was 86.04% for training and 79.33% for the validation. Besides, the AUC value of this model was 0.96 for training and 0.87 for the validation. The ROC curve of the five training sets was shown in the form of mean ± standard deviation in Figure 5C.

After generating the final classifiers for the models, we evaluated the prediction performances in terms of average AUC measures of the models (Figure 5D). The radiomics model demonstrated a statistically significant improvement (P < 0.001) compared to the clinical model (Figure 5E). In addition, hybrid model presented a significant improvement compared to clinical (P < 0.001) and radiomics models (P = 0.002) for the training set. Although statistical significance was not observed in AUC measurements for the validation data (except among hybrid and clinical model, P = 0.041), prediction performance was improved by combining the key features from radiomics and clinical models (Figure 5F).

Discussion

In the present study, we developed three AI models to estimate the individual risks of the LM in CC patients using clinical characteristics and preoperative CT images features: clinical, radiomics, and hybrid models. We further evaluated the predictive accuracy of these models to detect high-risk patients with LM. Our results revealed that the hybrid model (85.50%) showed the best discriminative ability to detect high-risk patients with LM compared with the radiomics (79.50%) and clinical models (69.50%). Therefore, a non-invasive model can be developed as an individualized visual tool for detecting LM and helping clinicians select appropriate surveillance and intervention plans for CC patients with high risks of LM.

The liver is the most common site for distant metastases of CC, and up to 70% of all CC patients may develop LM eventually [28]. Furthermore, LM is the leading cause of death and also a key point in the treatment of cancer patients. Currently, hepatic resection is considered to be the only treatment that can provide long-term survival in CC [29]. The patients with resectable primary tumor and LM have a better 5-year survival rate compared to patients with palliative treatment (50% vs 5%) [30]. With the continuous improvement of hepatectomy technique and perioperative care, complication and mortality rate have dropped dramatically. Meanwhile, simultaneous resection of the primary tumor and LM is recommended in clinical practice of CC patients for optimal outcomes [31]. Unfortunately, only about 25% of CC patients can undergo simultaneous hepatic resection [32]. In this study, 13.2% (82/620) of patients have synchronous LM, and only 24 (29.3%) of these patients received simultaneous resection of the primary tumor and LM. These patients had no complications after surgery and were discharged smoothly. Therefore, screening for high-risk factors and early detection of LM will provide opportunities for surgical resection and improve the prognosis of CC patients.

The depth of tumor invasion and lymph node metastasis are two components of the TNM system which are considered to be high-risk factors for the development of LM [33]. Carcinoembryonic antigen (CEA) level was also recognized as an independent factor for LM of CC [34]. Chuang et al. demonstrated that preoperative serum CEA level, depth of tumor invasion, lymph node metastasis, and vascular invasion were independent factors that correlate with LM by investigating 1,099 CC cases over a 7-year follow up [10]. Although these clinicopathologic factors have a good predictive performance of LM in CC, these factors are only available after invasive procedures. In our study, we attempted to establish a clinical model using non-invasive preoperative factors, including age, gender, tumor site, and histologic grade to predict LM in CC patients. However, the accuracy and sensitivity were only 69.82% and 56.36% respectively, and the prediction performance of the clinical model was not satisfactory.

CT, MRI, and PET-CT are common imaging examinations which can be helpful in the diagnosis of LM in CC preoperatively. However, the sensitivity and accuracy of their diagnosis are
not as perfect as we expected. According to the meta-analysis with 20-year study, the detection sensitivity of LM in CT, MRI, and PET were 74.4%, 80.3%, and 81.4%, respectively [35]. With the rapid development of radiomics in recent years, many studies have used radiomics signature extracted from images to predict distant metastases in different cancers [18, 20, 36]. Shu et al. reported that MRI-based image features can provide non-invasive information to predict the risk of synchronous and metachronous LM in rectal cancer patients with satisfactory results [22, 23]. CT scans are routinely performed preoperatively in CC patients for assessment and have higher potential to extract more significant and useful image features [12, 37]. Therefore, in this study, we generated a radiomics model using quantitative image features extracted from CT of CC patients with a prediction accuracy of 79.50%, which corresponded with an average increase of 10% compared to the clinical model. Furthermore, we combined clinical and image features following the analyses and obtained a much better predictive outcome (85.50%). The results proved that the generated hybrid model may serve as an accurate and reliable detection tool for LM in CC patients.

The present study also has some limitations. First, this investigation is based on a single institutional retrospective analysis with small-sample study. Further investigations including multi-institutional prospective studies will expand patient cohorts and improve generalization of the outcome. Second, image features were extracted from the venous phase of multi-phase enhancement sequences; arterial phase and delayed phase image features should be further investigated. Finally, our study lacked postoperative follow-up data, hence we could not investigate the relationship between models and survival outcomes of the patients. Despite these limitations, we hope that our result will contribute to accurate prediction of the LM in CC patients.

In conclusion, we established a new prediction model based on CT image that can successfully and accurately predict the presence of LM in CC patients. Furthermore, combining image features with clinical characteristics greatly improved the model’s prediction performance. This AI model may serve as a promising tool for individualized surveillance of CC patients with high risks of LM and also provide the basis for clinicians to improve treatment decisions for these patients.

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Disclosure of conflict of interest

None.

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